

<p>Mailing Address C/o State Street Fund Services Ireland Ltd Transfer Agency Department 78 Sir John Rogerson's Quay Dublin 2 D02 HD32 Ireland Phone: +353 1 2425585 Fax: +353 1 5625549 Email: BaroncapitalTA@statestreet.com</p>	<p>Please tick here if this has already been sent by facsimile transmission. <input type="checkbox"/></p>
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Instructions
<p>Please ensure that you have read the Prospectus for Baron Capital UCITS ICAV (the "ICAV") and the relevant Supplement (the "Prospectus"), and the Privacy Notice of the ICAV as set out in Appendix A to this Application Form, before completing this Application Form. Defined terms used in this Application Form are those used in the Prospectus</p> <p>For initial subscriptions for units you must complete the Application Form and post it to the address above. Completed Application Forms may also be sent by fax with the original Forms and the supporting documentation required for Anti-Money Laundering purposes to follow by post immediately thereafter.</p> <p>Failure to provide the original Application Form along with the documentation required for Anti-Money Laundering purposes may result in the deduction of tax due to the Irish Finance Act requirements outlined in the Anti-Money Laundering section and/or a delay in the acceptance and/or payment of a transfer/redemption request.</p> <p>Subsequent subscriptions may be made in writing or by facsimile stating your registration details and the amount to be invested.</p> <p>Non Retail Accounts - An authorised signatory list must be provided at the time of account opening for the investing entity in whose name the account is being opened. The Application Form must be signed in accordance with the signing mandate outlined in the authorised signatory list.</p> <p>Any future changes to the original account details must be received in original format and signed in accordance with the signing mandate outlined in the authorised signatory list, in the case of a company, on corporate letterhead, and in the case of retail applicants, all account signatories must authorise every instruction.</p>

Subscription Information			
Please pay subscription monies to the following account(s)			
	EURO	US Dollars	Sterling
Correspondent Bank	Bank of America	Bank of America	Bank of America
SWIFT Code	BOFADEFX	BOFAUS3N	BOFAGB22
Beneficiary Bank	Bank of America	Bank of America	Bank of America
Account Number	22137010		88008018
Beneficiary Name	Baron Capital UCITS ICAV	Baron Capital UCITS ICAV	Baron Capital UCITS ICAV
Beneficiary Account No	DE32500109000022137010	6550163177	GB57BOFA16505088008018
Reference	Name of Fund – Applicant	Name of Fund - Applicant	Name of Fund - Applicant

Please ensure that your bank quotes the details above in the electronic funds transfer to the appropriate bank.

Subscription monies should be received by wire transfer in cleared funds by the relevant Settlement Date as set out in the relevant Supplement in the currency of the relevant Units. The Administrator may, at its discretion, accept payment in other currencies, but such payments will be converted into the currency of the relevant Unit class at the then prevailing exchange rate and any conversion expenses shall be borne by the Unitholder. This may result in a delay in processing the application.

DETAILS OF EXPECTED INVESTMENT (please complete this section)

Please tick one of the following boxes to confirm whether you invest for your own account or on behalf of another person

Level of expected total investment:	
Ranging between a currency equivalent to EUR 0 to EUR15K	<input type="checkbox"/>
Ranging between a currency equivalent to EUR 15K to EUR100K	<input type="checkbox"/>
Ranging between a currency equivalent to EUR 100K to EUR1M	<input type="checkbox"/>
Ranging between a currency equivalent to EUR 1M to EUR100M	<input type="checkbox"/>
Superior to a currency equivalent to EUR 100M	<input type="checkbox"/>
Declaration of investment:	
Investing on my/our own behalf (please tick the box if applicable)	<input type="checkbox"/>
Investing on behalf of a Third Party Disclosed in the Investor Name	<input type="checkbox"/>
Investing on behalf of several non-disclosed Third Parties (omnibus/pooled accounts)	<input type="checkbox"/>

Details of Investment:

The Applicant, having received and read a copy of the Prospectus of the ICAV hereby applies to invest in the Fund, as indicated in the table below:

Fund Name	Share Class	Currency	Number of Units	Value of Subscription

Should you have any questions, please contact the Transfer Agent, State Street Fund Services (Ireland) Limited, 78 Sir John Rogersons Quay, Dublin 2, Ireland. Telephone: + 353 1 2425585 Facsimile: + 353 1 5625549 Email:

BaroncapitalTA@statestreet.com

Account Registration Details

Registered Name	
Account Designation (if any)	
Shareholder Type ¹	

Occupation (if individual) or Nature and purpose of business activity (if entity)						
Source of funds for initial investment (please tick multiple boxes if appropriate)	<input type="checkbox"/> Inheritance <input type="checkbox"/> Salary <input type="checkbox"/> Sale of assets (please specify) <input type="checkbox"/> Other (please specify)		<input type="checkbox"/> Gift <input type="checkbox"/> Pension <input type="checkbox"/> Lottery		<input type="checkbox"/> Sale of Business <input type="checkbox"/> Investment income	
<i>Further supporting documentation may be requested if deemed necessary to verify the above information.</i>						
Source of wealth, ie aggregation of accumulated wealth (please tick multiple boxes if appropriate)	<input type="checkbox"/> Inheritance <input type="checkbox"/> Salary <input type="checkbox"/> Sale of assets (please specify) <input type="checkbox"/> Other (please specify)		<input type="checkbox"/> Gift <input type="checkbox"/> Pension <input type="checkbox"/> Lottery		<input type="checkbox"/> Sale of Business <input type="checkbox"/> Investment income	
<i>Further supporting documentation may be requested if deemed necessary to verify the above information.</i>						
Remitting Bank Details:	1. Remitting Bank Details: <input type="checkbox"/> As per bank account details for redemptions & dividends stated below <i>OR please complete the details below*</i> Remitting bank name: _____ Remitting bank address: _____ Account holder name: _____					
*If you have more than one remitting bank please provide details on a separate sheet.						
Registered Address <i>PO or C/O will not be accepted</i>						
Mailing Address (if different)						
Contact Name						
Contact Details	Telephone		Facsimile		Email	

Joint Applicant(s)

Details of up to 3 additional holders may be added to the application. Please complete details in block capitals below.

First additional applicant details	
Registered Name	
Occupation (if individual or joint account) or Nature of purpose of entity (if entity)	

¹: e.g. (i) individual (ii) joint account, (iii) publicly-listed company, (iv) state-owned entity, (v) pension fund, (vi) regulated credit and financial institution, (vii) nominee company / intermediary company, (viii) private and unlisted companies, (ix) partnerships, (x) collective investment schemes, (xi) trusts, foundations and similar entities, (xii) charities, (xiii) clubs & societies, (xiv) places of worship, (xv) other (please specify)

Source of funds for initial investment (please tick multiple boxes if appropriate)	<input type="checkbox"/> Inheritance <input type="checkbox"/> Salary <input type="checkbox"/> Sale of assets (please specify) <input type="checkbox"/> Other (please specify)	<input type="checkbox"/> Gift <input type="checkbox"/> Pension <input type="checkbox"/> Lottery	<input type="checkbox"/> Sale of Business <input type="checkbox"/> Investment income			
<i>Further supporting documentation may be requested if deemed necessary to verify the above information.</i>						
Source of wealth, ie aggregation of accumulated wealth (please tick multiple boxes if appropriate)	<input type="checkbox"/> Inheritance <input type="checkbox"/> Salary <input type="checkbox"/> Sale of assets (please specify) <input type="checkbox"/> Other (please specify)	<input type="checkbox"/> Gift <input type="checkbox"/> Pension <input type="checkbox"/> Lottery	<input type="checkbox"/> Sale of Business <input type="checkbox"/> Investment income			
<i>Further supporting documentation may be requested if deemed necessary to verify the above information.</i>						
Registered Address <i>PO or C/O will not be accepted</i>						
Contact Name						
Contact Details	Telephone		Facsimile		Email	

Second additional applicant details	
Registered Name	
Occupation (if individual or joint account) or Nature of purpose of entity (if entity)	
Source of funds for initial investment (please tick multiple boxes if appropriate)	<input type="checkbox"/> Inheritance <input type="checkbox"/> Salary <input type="checkbox"/> Sale of assets (please specify) <input type="checkbox"/> Other (please specify)
	<input type="checkbox"/> Gift <input type="checkbox"/> Pension <input type="checkbox"/> Lottery
	<input type="checkbox"/> Sale of Business <input type="checkbox"/> Investment income
<i>Further supporting documentation may be requested if deemed necessary to verify the above information.</i>	
Source of wealth, ie aggregation of accumulated wealth (please tick multiple boxes if appropriate)	<input type="checkbox"/> Inheritance <input type="checkbox"/> Salary <input type="checkbox"/> Sale of assets (please specify) <input type="checkbox"/> Other (please specify)
	<input type="checkbox"/> Gift <input type="checkbox"/> Pension <input type="checkbox"/> Lottery
	<input type="checkbox"/> Sale of Business <input type="checkbox"/> Investment income
<i>Further supporting documentation may be requested if deemed necessary to verify the above information.</i>	
Registered Address <i>PO or C/O will not be accepted</i>	
Contact Name	

Contact Details	Telephone		Facsimile		Email	
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Third additional applicant details						
Registered Name						
Occupation (if individual or joint account) or Nature of purpose of entity (if entity)						
Source of funds for initial investment (please tick multiple boxes if appropriate)	<input type="checkbox"/> Inheritance <input type="checkbox"/> Salary <input type="checkbox"/> Sale of assets (please specify) <input type="checkbox"/> Other (please specify)		<input type="checkbox"/> Gift <input type="checkbox"/> Pension <input type="checkbox"/> Lottery		<input type="checkbox"/> Sale of Business <input type="checkbox"/> Investment income	
<i>Further supporting documentation may be requested if deemed necessary to verify the above information.</i>						
Source of wealth, ie aggregation of accumulated wealth (please tick multiple boxes if appropriate)	<input type="checkbox"/> Inheritance <input type="checkbox"/> Salary <input type="checkbox"/> Sale of assets (please specify) <input type="checkbox"/> Other (please specify)		<input type="checkbox"/> Gift <input type="checkbox"/> Pension <input type="checkbox"/> Lottery		<input type="checkbox"/> Sale of Business <input type="checkbox"/> Investment income	
<i>Further supporting documentation may be requested if deemed necessary to verify the above information.</i>						
Registered Address <i>PO or C/O will not be accepted</i>						
Contact Name						
Contact Details	Telephone		Facsimile		Email	

** Correspondence will only be sent to the first named applicant/correspondence address. Additional applicants will be required to provide confirmation of residential address details for anti-money laundering verification purposes.

Intermediary Details (if applicable)						
Broker ID			Branch ID			
Broker Name						
Broker Address						
Representative Name			Representative ID			
Contact Details	Telephone		Facsimile		Email	

Bank Account Details for Redemption and Distribution Payments
<p>Please list the details of the account to which redemption proceeds, and/or dividend distributions should be paid. Payments will only be made to a bank account held in the name of the registered shareholder. No Third Party Payments will be made. Redemptions will not be processed on non cleared/verified accounts.</p> <p>Both IBANS & SWIFT (BIC) Codes should be quoted for all banks within the EU/EEA.</p>

1: e.g. (i) individual (ii) joint account, (iii) publicly-listed company, (iv) state-owned entity, (v) pension fund, (vi) regulated credit and financial institution, (vii) nominee company / intermediary company, (viii) private and unlisted companies, (ix) partnerships, (x) collective investment schemes, (xi) trusts, foundations and similar entities, (xii) charities, (xiii) clubs & societies, (xiv) places of worship, (xv) other (please specify)

Amendments to investors' payment instructions will only be effected upon receipt of an original instruction which has been duly authorised. In the case of joint accounts, instructions will only be made upon receipt of instruction duly signed by all applicants.

The Administrator does not accept any responsibility for the bank account details quoted and any payments made using these details will be at your risk.

Correspondent Bank Name	
Correspondent Bank Address	
Correspondent Bank Sort Code/ SWIFT (BIC)/ ABA/Fedwire	
Beneficiary Bank Name	
Beneficiary Bank Address	
Beneficiary Bank Sort Code/ SWIFT (BIC)/ ABA/Fedwire	
Beneficiary Account Name	
Beneficiary Account Number	
Payment Type (please select)	MT202 <input type="checkbox"/> / MT103 <input type="checkbox"/>
Currency	
Reference	

Return of Values (Investment Undertakings) Regulations 2013

Pursuant to the Return of Values (Investment Undertakings) Regulations 2013 (S.I. 245 of 2013) (the "Regulations"), the ICAV is required to collect certain information from non-Excepted Share Holders. All Applicants, whether individuals, bodies corporate or unincorporated bodies of persons, which are Irish resident or ordinarily resident should review the list of Excepted Share Holders set out below.

If the Applicant is Irish resident or non-Irish resident and is not an Excepted Share Holder, please provide the following information and documentations:

Tax Identification Number (TIN) / PPS Number: _____

Any one of the following additional documents is required to verify the TIN or PPS Number (either an original or a copy will suffice):

- P60
- P45
- P21 Balancing Statement
- Payslip (where employer is identified by name or tax number)
- Drug Payment Scheme Card
- Tax Assessment
- Tax Return Form
- PAYE Notice of Tax Credits
- Child Benefit Award Letter / Book
- Pension book
- Social Services Card
- Public Services Card

In addition, printed documentation issued by the Irish Revenue Commissioners or the Department of Social Protection which includes your name, address and tax reference number is also acceptable.

In the case of joint account holders, the additional documentation is required for each Applicant.

Your personal information will be handled by the Administrator, the ICAV or its duly appointed delegates as Data Processor for the ICAV in accordance with the Data Protection Acts 1988 to 2003. Information provided herein will be processed for the purposes of complying with the Regulations and this may include disclosure to the Irish Revenue Commissioners.

Excepted Share Holders	
Share Holders	TCA 1997 reference
The following entities will constitute Excepted Share Holders provided the Fund has obtained a duly completed appropriate declaration:	
An investment undertaking	739D(6)(c)
An investment limited partnership	739D(6)(cc)
A pension scheme which is an exempt approved scheme	739D(6)(a)
A company carrying on a life assurance business	739D(6)(b)
A special investment scheme	739D(6)(d)
A unit trust to which section 731(5) applies	739D(6)(e)
A charity	739D(6)(f)(i)
ARFs, AMRFs	739D(6)(h)
A qualifying fund manager	739D(6)(g)
A qualifying savings manager	739D(6)(g)
PRSA providers	739D(6)(i)
The National Pensions Reserve Fund	739D(6)(l)
The National Asset Management Agency	739D(6)(ka)
A Section 110 Company	739D(6)(m)
A Credit Union	739D(6)(j)
An Irish resident company but only where the Fund is a money market fund	739D(6)(k)
A non-Irish resident unit holder in respect of whom the Fund has obtained a completed non-resident declaration or where the Fund has adopted the Equivalent Measures Regime	739D(7) 739D(7B)
A unit holder who holds their units in a recognised clearing system	739B

Data Protection

1. I/We hereby acknowledge that the Fund/Fund Management Company outsources certain tasks, activities or services to third party service providers which may be regulated or non-regulated and located within Ireland or outside Ireland within the EU or outside the EU. I/We further acknowledge that the Fund/Fund Management Company, delegates and third party service providers outsources its IT infrastructure and IT operational tasks to service providers which may be regulated or non-regulated and located within Ireland and outside Ireland within the EU or outside the EU. I/We acknowledge that the Fund is bound by the confidentiality rules and may not communicate data concerning, and information relating to Me/Us to any third party, except when disclosure of the information is made in compliance with, or required under, applicable law, or upon instruction or with consent or when it is necessary for the purpose of eliciting a necessary service to the Fund. I/We further acknowledge that the Service providers will be contractually bound by the Fund/Fund Management Company to comply with strict confidentiality rules.
2. I/We hereby acknowledge that the Fund/ Manager/ Investment Manager, service providers, delegates and/or sub-contractors ("the Fund") can hold, store and process My/Our Personal Information/data in accordance with the applicable Irish laws and regulations. Such data may include, but not be limited to, the name, date of birth, civil status, nationality, contact details (including postal or e-mail address), banking details, invested amount and holdings in the Fund of each investor ("Personal Data"). I/We acknowledge that such Personal Data shall be processed on the bases set out in the Fund's privacy statement. I/We am/are aware that, in accordance with the applicable Irish laws, such stored documents and documentary evidence dated more than 7 years can be destroyed.
3. I/We acknowledge that the Fund may share My/Our Personal Data with a variety of third parties for the purpose of eliciting a necessary service from these third party organizations and not for commercial gain.
4. I/We acknowledge that the Fund, may share My/Our Personal Data with the Fund's data processors and sub-processors (the "Processors"), noting that the Processors may be located in jurisdictions outside of Ireland and of EU, and, may or may not afford an adequate level of data protection ("Third Countries"). However, the Fund/Fund Management Company shall ensure the adequate safeguards pursuant to required by the General Data Protection Regulation and applicable Irish laws and regulations. Such appropriate safeguards may consist of making use of binding corporate rules, standard data protection clauses adopted by EU Commission, standard data protection clauses adopted by a supervisory authority or contractual clauses authorized by a supervisory authority. Afore defined Third Countries a, may include, but are not limited to India, United States of America or Hong Kong.
5. I/We acknowledge that Personal Data may be processed inter alia for the purposes of the fight against money laundering and terrorist financing purposes, for Foreign Account Tax and Compliance Act (FATCA) purposes for Common Reporting Standard (CRS) purposes or for compliance with regulatory requirements, including the relevant foreign laws.
6. I/We acknowledge and authorize the Fund/ Manager/ Investment Manager, service providers, delegates and/or sub-contractors ("the Fund") to make recordings of telephone conversations. The purpose of making such recordings is to provide proof, in the event of a dispute, of a transaction or any commercial communication. Such recordings shall be retained in compliance with the applicable legislation.
7. I/We acknowledge that Personal Data included in money transfers is processed by service providers and other specialized companies, such as SWIFT (Society for Worldwide Interbank Financial Telecommunication). Such processing may be operated through centers located in other European countries and in the United States of America in accordance with their local legislation. As a result, the US authorities can request access to personal data held in such operation centers for the purposes of fighting terrorism. I/We acknowledge that by instructing a payment order or any other operation, I/We acknowledge that all data elements necessary for the correct completion of the transaction may be processed outside of Ireland In the interests of efficient management, personal data relating to each Me/Us shall be recorded on a machine readable medium.
8. I/We acknowledge that I/We have the following right to My/Our Personal Data, in accordance with the applicable Irish laws and regulations:
 - Right of access – I/We acknowledge that I/We have the right to request a copy of the information that the Fund holds on Me/Us.
 - Right of rectification - I/We have a right to correct data that the Fund holds about Me/Us that is inaccurate and incomplete.
 - Right to be forgotten – in certain circumstances I/We can ask for the data the Fund holds to be erased from our records.
 - Right to restriction of processing – where certain conditions apply I/We have the right to restrict the processing
 - Right of portability – I/We have the right to have the data the Fund holds about Me/Us transferred to another organization.
 - Right to object- I/We have the right to object to certain types of processing such as direct marketing.
 - Right to object to automated processing, including profiling – I/We also have the right not to be subject to the legal effects of automated processing or profiling

Politically Exposed Persons

Please complete EITHER Section A or B below, with reference to the definitions below
A Politically-Exposed Person (“PEP”) is an individual who has at any time in the preceding 12 months been, entrusted with a prominent public function. This includes the following individuals (but excluding any middle ranking or more junior official):

- a “specified official”;
- a member of the administrative, management or supervisory body of a state-owned enterprise;

A “specified official” is (including any such officials in an institution of the European Communities or an international body):

- a. a head of state, head of government, government minister or deputy or assistant government minister;
- b. a member of a parliament;
- c. a member of a supreme court, constitutional court or other high level judicial body whose decisions, other than in exceptional circumstances, are not subject to further appeal;
- d. a member of a court of auditors or of the board of a central bank;
- e. an ambassador, chargé d’affaires or high-ranking officer in the armed forces

An immediate family member of a PEP includes any of the following persons:

- a. any spouse of the PEP;
- b. any person who is considered to be equivalent to a spouse of the PEP under the national or other law of the place where the person or PEP resides;
- c. any child of the PEP;
- d. any spouse of a child of the PEP;
- e. any person considered to be equivalent to a spouse of a child of the PEP under the national or other law of the place where the person or child resides;
- f. any parent of the PEP;
- g. any other family member of the PEP who is of a class prescribed by the Minister for Justice under section 37(11) of the Act.

A close associate of a PEP includes any of the following persons

- a. any individual who has joint beneficial ownership of a legal entity or legal arrangement, or any other close business relations, with the PEP;

- b. any individual who has sole beneficial ownership of a legal entity or legal arrangement set up for the actual benefit of the PEP.

As an example, a “beneficial owner” of a body corporate is any individual who (other than a company having securities listed on a regulated market):

- a. ultimately owns or controls, whether through direct or indirect ownership or control (including through bearer shareholdings), more than 25 per cent of the shares or voting rights of the body; or
- b. otherwise exercises control over the management of the body.

SECTION A: TO BE COMPLETED ONLY IF THE PEP RULES APPLY WITH REFERENCE TO THE ABOVE DEFINITIONS

the application is being made by a PEP / immediate family member of a PEP / close associate of a PEP
OR
the applicant has a beneficial owner who is a PEP / immediate family member of a PEP / close associate of a PEP
OR
the application is being made for the benefit of a PEP / immediate family member of a PEP / close associate of a PEP
OR
it is intended to transfer the shares to a PEP / immediate family member of a PEP / close associate of a PEP

Name of PEP		Address of PEP	
Office of PEP		Relationship of Applicant or Applicant’s Beneficial Owner to PEP	

Source of Wealth of the Applicant (e.g. Income from employment, Income from company business, inheritance, etc)

SECTION B: PLEASE TICK BOX BELOW IF THE PEP DO NOT APPLY RULES APPLY WITH REFERENCE TO THE DEFINITIONS ABOVE:

I/we confirm that the application is NOT being made by a PEP / immediate family member of a PEP / close associate of a PEP
AND
the applicant does not have a beneficial owner who is a PEP / immediate family member of a PEP / close associate of a PEP
AND
the application is NOT being made for the benefit of a PEP / immediate family member of a PEP / close associate of a PEP

AND

it is NOT intended to transfer the shares to a PEP / immediate family member of a PEP / close associate of a PEP

US Person Confirmation

Please complete EITHER SECTION A OR SECTION B as applicable

SECTION A: PLEASE TICK BOX AND DELETE AS APPLICABLE IF THE STATEMENT BELOW IS CORRECT

I/We confirm that I am/we are a US Person (as defined in the Prospectus) and am/are acquiring Shares in the Fund on behalf of, or for the benefit of, a US Person, OR I/we intend to transfer any Shares which I/we may purchase to any US Person.

PLEASE SUPPLY A COPY OF THE US INTERNAL REVENUE SERVICE FORM W-9 OR AN ORIGINAL W-8 BEN IF THE BENEFICIAL OWNER IS A NON-US PERSON.

SECTION B: PLEASE TICK BOX AND DELETE AS APPLICABLE IF THE STATEMENT BELOW IS CORRECT

I/We confirm that I am/we are not a US Person (as defined in the Prospectus) and am/are not acquiring Shares in the Fund on behalf of, or for the benefit of, a US Person, nor do I/we intend to transfer any Shares which I/we may purchase to any US Person

Personal Portfolio Investment Undertaking (PPIU)

*I/We confirm that *I am/we are an Irish Resident or Irish Ordinary Resident who is a director or has a relationship with a director of the fund.

Please enter name(s) of PPIU _____

[Delete* as appropriate](#)

Key Information Document (KID)

By signing this Application Form, I/we acknowledge that *I/we have viewed, reviewed and understood the KID applicable to the relevant Share class(es) to which this Application Form relates in good time and prior to making an application for Shares of such Class(es).

I/we also acknowledge that I/we* will read further potential issuances of applicable KID before any subsequent investment that I/we* wish to make in the relevant shares of such Class(es).

Whenever I/we* act as an intermediary, I/we* hereby undertake that I/we* will provide the KID for the respective share classes to my/our* Clients in good time before any subscription in the relevant shares of such Class(es).

[Delete * as appropriate](#)

Declarations and Signatures

I/We, having received and considered a copy of the Prospectus, hereby confirm that this application is based solely on the current Prospectus and the material contracts therein referred to together (where applicable) with the most recent annual report or semi-annual report and accounts of the ICAV.

I/We undertake to observe and be bound by the provisions of the Instrument of Incorporation and Prospectus for the ICAV (each as amended from time to time) and apply for the Shares issued in relation to this application to be entered in the register of Shareholders in my/our name(s) (or in the name of a nominee or agent).

I/we have read the Privacy Notice set out in Appendix A.

I/We acknowledge that, owing to anti-money laundering requirements operating within their respective jurisdictions, the ICAV, its Directors, the Transfer Agent, the Manager and any distributor which may be appointed (as the case may be) may require further identification of the applicant(s) before the application can be processed and the ICAV, its Directors, the Transfer Agent, the Manager and any distributor which may be appointed shall be held harmless and indemnified against any loss arising as a result of a failure to process the application if such information has been requested by the parties referred to and has not been provided by me/us.

I/We hereby authorise the Transfer Agent to accept and execute any instructions, (including but not limited to any instructions regarding subscriptions, switches, transfers or redemptions of Shares or any payment in relation to same or otherwise) in respect of Shares to which this application relates, given by me/us in written form, by facsimile or by electronic means. I/We hereby agree to indemnify each of the Transfer Agent and the ICAV and agree to keep each of them indemnified against any loss of any nature whatsoever arising to any of them as a result of either or them acting upon instructions given in written form by me/us, i.e. facsimile, confirmed by me/us in writing. The Transfer Agent and the ICAV may rely conclusively upon, and shall incur no liability in respect of, any action taken upon any notice, consent, request, instruction or other instrument believed in good faith to be genuine or to be signed by properly authorised persons.

I/We consent to any notice or other document to be sent by the ICAV or the Transfer Agent to me/us as a Shareholder, by electronic means including but not limited to e-mail, swift or posting such notice or other document on a website notified to me/us by post or by e-mail.

I/We confirm that I/we have the capacity and am/are duly authorised to complete this form and to make the representations and give the indemnities referred to herein.

I/We agree to provide these representations to the ICAV and its Directors at such times as either of them may request and to provide on request such certifications, documents or other evidence as the ICAV and/or its Directors may reasonably require to substantiate such representations.

(In respect of joint shareholdings only). We direct that on the death of one of us, the Shares for which we hereby apply be held in the name of and to the order of the survivor (s) of us or the executor or administrator of such survivor(s)

I/ We understand that the representations and warranties made herein are continuous and all subsequent subscriptions of Shares in the Fund by me/us shall be governed by them, and I/we agree to notify the ICAV or the Transfer Agent immediately, if any representation or warranty are no longer accurate and to abide by any directions from the ICAV or the Transfer Agent arising as a result.

I / We hereby agree to indemnify and hold harmless the ICAV, AIFM, Investment Manager, Depositary, Administrator, any distributor and the other Shareholders and their respective directors, officers and employees against any loss, liability, cost or expense (including without limitation legal fees, taxes and penalties) which may result directly or indirectly, from any misrepresentation by me/us or breach by me/us of any warranty, condition, covenant or agreement set forth herein or in any document delivered by me/us to the ICAV, AIFM, Investment Manager, Depositary, Administrator or any distributor. None of the ICAV, AIFM, Investment Manager, Depositary, Administrator or any distributor shall be responsible or liable for the authenticity of instructions received from me/us or any authorised person, including instructions received through electronic dealing methods, and may rely upon any instruction from any such person representing himself to be a duly authorised person reasonably believed to be genuine, notwithstanding that it may be shown that the instructions were not signed, given or sent by the applicant. I/we also agree to indemnify and hold harmless the ICAV, AIFM, Investment Manager, Depositary, Administrator, any distributor and the other Shareholders and their respective directors, officers and employees against any loss, liability, cost or expense (including without limitation legal fees, taxes and penalties) which may result from my/our holdings of Shares in the ICAV which brings the ICAV into conflict with any requirement of any local regulatory authority or the ICAV incurring any liability to taxation or breaching any law or regulation of any local regulatory or taxation authority.

I / We hereby acknowledge that the ICAV or the Administrator may in the future electronically deliver reports to Shareholders. Such reports may include but are not limited to contract notes, holdings confirmations, valuation statements and other reports which may be issued by the ICAV or the Administrator from time to time.

I/We confirm that being a person they I am/ We are over 18 years of age.

I/We hereby certify that I am/we are aware of the risks involved in the proposed investment as set out in the Prospectus.

I/We confirm that I am/we are in agreement with the distribution policy as outlined in the Prospectus.

I/We declare that the information contained in the declarations completed above is true and correct.

I / We acknowledge that the ICAV has been established with segregated liability between its sub-funds. I / We hereby agree that any amounts due or payable to me / us in respect of an investment in a sub-fund, howsoever arising (including any proven claim), will be limited to, and payable only out of, the assets of that sub-fund and in no circumstances will the assets of any other sub-fund of the ICAV be used to discharge the amount due.

I / We understand that the confirmations, representations, declarations, indemnities and warranties made or given herein are continuous and apply to all subsequent purchases of Shares by me / us in the ICAV.

Declaration of Residence Outside the Republic of Ireland

Applicants resident outside the Republic of Ireland are required by the Irish Revenue Commissioners to make the following declaration which is in a format authorised by them, in order to receive payment without deduction of tax. It is important to note that this declaration, if it is then still correct, shall apply in respect of any subsequent acquisitions of shares/units.

Terms used in this declaration are defined in the Prospectus. Please select either A or B

A - Declaration on Own Behalf

- i. I/ We* declare that I am/we* are applying for the Units on my own/our own behalf/on behalf of a company* and that I am/we are/the company* is entitled to the Units in respect of which this declaration is made and that I am/we are/the company* is not currently an Irish Resident or Irish Ordinary Resident, and should I/we/the company* become an Irish Resident, I/we will so inform you, in writing, accordingly.

*Delete as appropriate

B - Declaration as Intermediary

- i. I/ We* declare that I am/we are* applying for Units on behalf of persons who will be beneficially entitled to the Units, and who to the best of my/our* knowledge and belief, are neither an Irish Resident or Irish Ordinary Resident, and
- ii. I/ we* also declare that unless I/we* specifically notify you to the contrary at the time of application, all applications for Units made by me/us* from the date of this application will be made on behalf of such persons; and I/we* will inform you in writing if I/we* become aware that any person, on whose behalf I/we* hold Units, becomes an Irish Resident.

*Delete as appropriate

AUTHORISATION

I/We agree to be bound by the Declarations, Representations, Consents and Indemnities set out in this Application Form

Signature (1)

Capacity of Authorised Signatory

Name Authorised Signatory (1)

Signature (2)

Capacity of Authorised Signatory

Name Authorised Signatory (2)

Signature (3)

Capacity of Authorised Signatory

Name Authorised Signatory (3)

Signature (4)

Capacity of Authorised Signatory

Name Authorised Signatory (4)

Date

Important Information

Non resident declarations are subject to inspection by the Irish Revenue Commissioners and it is a criminal offence to make a false declaration.

To be valid, this application form (incorporating the declaration required by the Irish Revenue Commissioners) must be signed by the applicant and in the case of joint applicants, each must sign. In the case of a corporation, the application must be signed by authorised signatories as agreed in the corporate signing mandate.

If the Application Form (incorporating the declaration required by the Irish Revenue Commissioners) is signed under power of attorney, a copy of the power of attorney must be furnished in support of the signature.

Applicants who are resident or ordinarily resident in the Republic of Ireland or are an Exempt Irish resident as defined in the Prospectus, please contact the Transfer agent immediately.

ANTI-MONEY LAUNDERING (KNOW YOUR CUSTOMER REQUIREMENTS) AND ECONOMIC SANCTIONS OBLIGATIONS

Under Irish legislation and supplemental Guidance covering anti-money laundering, economic sanctions and the taxation of savings the ICAV and the Administrator are required to obtain the following documentation to verify the identity of all new applicants. This documentation should be provided with the application form. The documentation listed below may not cover all applications and the ICAV and the Administrator reserve the right to request additional documentation if required.

Should documents be provided in a language other than English, an English translation may be required.

Please note that the application may not be accepted until the Administrator is in receipt of all required anti-money laundering documentation, the original Application Form and the original banking details for the settlement of the redemption proceeds. In any event, redemption and dividend payments will not be processed until full anti-money laundering documentation has been received on the account and the ICAV and the Administrator reserve the right to take further action where full anti-money laundering documentation has not been received.

Documentation which may be required for all Retail Applicants

1. Personal Verification¹ - ONE official photographic document (Certified²)
2. Address Verification³ - TWO different address verification documents (Original or Certified)
3. Source of Funds Verification
4. Source of Wealth Verification

Documentation which may be required for Designated Bodies in certain Countries

1. Confirmation of Name and Address
2. Confirmation of Regulatory Body
3. Original Authorised Signatory List
4. Original Letter of Assurance (where investing as an intermediary*)
5. Original / certified Declaration of Beneficial Owner(s) form.

*Additional information / documentation may be required subject to intermediary risk assessment, such as information on their AML/CTF policies and the nature of the intermediaries business.

Intermediaries from non EU / EEA countries will require an enhanced level of due diligence and further information or documentation, including information on underlying shareholders may also be required.

Documentation which may be required for Non Designated Bodies

1. Confirmation of name, office address, principal business address and registered number
2. Confirmation of Regulatory Body (if applicable)
3. Certificate of Incorporation or Certificate to Trade (Certified)
4. Memorandum and Articles of Association (Certified)
5. Latest audited Financial Statements (Certified)
6. Nature and purpose of the entity
7. List of Directors to include full name, dates of birth, occupation, residential and business addresses
8. Authorised Mandate or Board Resolution to establish the business relationship
9. Original Authorised Signatory List
10. ONE personal verification and TWO address verification documents for at least TWO directors **OR** ONE director and ONE authorised signatory (original or certified)
11. Verify the identity of all beneficial owners beneficially entitled to more than 25% of the entity's share capital or voting rights or otherwise exercises control over the management of the entity (if no beneficial owner holds more than 25%, verification of this is required (eg share register))
12. Original / certified Declaration of Beneficial Owner(s) form.

¹ Acceptable Personal Verification documents are a certified copy of a Passport or a Driver's License or National Identity Card. The certified documents must be in date, show a picture of the person, full name, date of birth and signature of the person.

² Verification documents must be *certified* by a suitable person/entity, such as; the Companies Registration Office (or the equivalent in the investors jurisdiction) with regard to incorporation documentation, a notary public, a police officer, an embassy/consular official, a chartered or certified public accountant, a practicing solicitor, any Designated Body. Documents should be stamped with the official stamp of the person, dated and signed by that person.

³ Acceptable Address Verification documents are any TWO of the following: electricity bill, gas bill, water bill, telephone bill, cable television bill, bank statement or credit card statement, social insurance documents, household/motor insurance certificates. Documents must originate from a different source, show the full name and residential address of the applicant and must be dated within 6 months of submission.

Documentation which may be required for Pension Fund Accounts in certain Countries

1. Confirmation of name and address
2. Pension Scheme Rules
3. Constitutional / Formation Document (eg: Trust Deed)
4. Confirmation of registration from the relevant tax authorities or Pensions Board
5. Verification of the principal employer (if applicable)
6. Name, address and dates of birth of the officers/board members/trustees/directors/governors or equivalent
7. Identity verification for TWO officers/board members/trustees/directors/governors or equivalent
8. Evidence that the person representing the Pension Scheme is empowered to act (Certified)
9. Original Authorised signatory list
10. Original / certified Declaration of Beneficial Owner(s) form

Documentation which may be required for a Nominee Company

1. Confirmation of Name and Address of Nominee Company
2. Original Authorised Signatory List for the Nominee Account
3. Confirmation of Name and Address of Parent of Nominee
4. Confirmation of Regulatory Body of Parent of Nominee
5. Original Authorised Signatory List of Parent
6. Proof of regulation (nominee / parent)
7. Original Letter of Assurance from Parent
8. Original / certified Declaration of Beneficial Owner(s) form

Documentation which may be required for a Trust/Foundation/Charity

1. Confirmation of name, registered & principal business address
2. Evidence of charitable status (if applicable)
3. Constitutional / Formation document (eg: Trust Deed or equivalent)
4. Nature and purpose
5. ONE Personal Verification and TWO Address Verification documents for at least TWO trustees/directors/governors/board members or ONE trustee/director/governor/board member and ONE authorised signatory (original / certified)
6. ONE Personal Verification and TWO Address Verification documents for any settler (where appropriate)
7. ONE Personal Verification and TWO Address Verification documents for all beneficiaries
8. Original Authorised Signatory List
9. Verify the identity of all SMO's if there is no natural person who owns more than 25%

Please note that the Administrator can only accept Application Forms from an entity that has legal capacity to enter into contracts on its own right and may require the constitutive document to legitimate legal status.

AML CONFIRMATION FOR NOMINEES / INTERMEDIARIES

This confirmation can only be completed by designated bodies regulated for anti money-laundering purposes by the appropriate regulator in one of the following countries: Australia, Austria, Belgium, Canada, Denmark, Finland, France, Germany, Guernsey, Hong Kong, Iceland, Ireland, Italy, Jersey, Luxembourg, Isle of Man, Netherlands, Norway, Portugal, Singapore, Spain, Sweden, Switzerland, United Kingdom, United States (please note this list is subject to change).

DESCRIPTION OF INVESTOR	DESIGNATED BODY INVESTING AS AN INTERMEDIARY <input type="checkbox"/>
	NOMINEE COMPANY <input type="checkbox"/>

RELATIONSHIP OF CONFIRMATION PROVIDER (REGULATED ENTITY) TO INVESTOR	INVESTOR <input type="checkbox"/> PARENT OF INVESTOR <input type="checkbox"/>
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UNLESS THE FOLLOWING BOX IS TICKED THE ADMINISTRATOR WILL APPLY THE BELOW CONFIRMATION TO ANY SUBSEQUENT DESIGNATED ACCOUNTS IN THE NAME OF THIS INVESTOR.
DO NOT APPLY THE BELOW CONFIRMATION TO SUBSEQUENT DESIGNATIONS <input type="checkbox"/>

Name of Regulated Entity _____
Name of Regulator _____
Address of Regulated Entity _____

We confirm that we are a designated body regulated for anti money-laundering purposes by the below regulator and hereby confirm the following in connection to the investor

1. We / the investor* has performed the anti-money laundering and counter-terrorist financing identification for any parties on whose behalf the investor is purchasing shares (“underlying investors”).
2. The evidence we / the investor* has obtained to verify the identity of the underlying investors, and where appropriate, their beneficial shareholders, meets the requirements of our national anti-money laundering and counter-terrorist financing legislation and regulations.
3. We confirm that all documents and information, which we / the investor* may have on our / the investor’s* files relating to the identity of each underlying investor will be sent to a competent authority upon the competent authority’s request.
4. We confirm that all documents and information, which we / the investor* may have on our / the investor’s files relating to the identity of each underlying investor will be sent to the Administrator of the Fund as soon as practicable upon its request.
5. We / the investor* will retain these documents and information for a period of at least 5 years after the relationship with an underlying investor has ended.
6. We / the investor* will take measures to ensure that the underlying investors are neither individuals nor institutions against whom sanctions have been imposed by the EU or United Nations or persons or entities that are included on the List of Specially Designated Nationals and Blocked Persons maintained by the U.S. Treasury’s Department’s Office of Foreign Asset Control (“OFAC”).

Yours faithfully

Name: _____

Title: _____
Authorised Signatory of Regulated Entity.

This should be signed by the MLRO, a Director or an authorised member of the Compliance Department or Legal Department (please state position).

Delete * as applicable

Entity Self-Certification for FATCA and CRS

Instructions for completion and Data Protection notice.

We are obliged under Section 891E, Section 891F, and Section 891G of the Taxes Consolidation Act 1997 (as amended) and regulations made pursuant to those sections to collect certain information about each account holder's tax arrangements. Please complete the sections below as directed and provide any additional information that is requested. Please note that by completing this application form you are providing personal information, which may constitute personal data within the meaning of the General Data Protection Regulation (697/2016/EU) (the "GDPR") and applicable Irish data protection legislation (currently the Irish Data Protection Acts 1988 to 2003. Please note that in certain circumstances we may be legally obliged to share this information, and other financial information with respect to an account holder's interests in the Fund, with the Irish tax authorities, the Revenue Commissioners. They in turn may exchange this information, and other financial information with foreign tax authorities, including tax authorities located outside the EU.

If you have any questions about this form or defining the account holder's tax residency status, please speak to a tax adviser or local tax authority.

For further information on FATCA or CRS please refer to the Irish Revenue or the OECD website at:

<http://www.revenue.ie/en/business/aeoi/index.html>

<http://www.oecd.org/tax/automatic-exchange/> in the case of CRS only.

If any of the information below about the account holder's tax residence or FATCA/CRS classification changes in the future, please ensure that we are advised of these changes promptly.

Account holders that are Individuals or Controlling Persons should not complete this form and should complete the form entitled "Individual (including Controlling Persons) Self-Certification for FATCA and CRS".

*(Mandatory fields are marked with an *)*

Investors that are individuals should not complete this form and should complete the form entitled "Individual Self-Certification for FATCA and CRS".

***Section 1: Account Holder Identification**

*Account Holder Name: _____ (the "Entity")

Country of Incorporation or Organisation: _____

***Current Registered Address:**

Number: _____ Street: _____

City, town, State, Province or County: _____

Postal/ZIP Code: _____ Country: _____

Mailing address (if different from above):

Number _____ Street: _____

City, town, State, Province or County: _____

Postal/ZIP Code: _____ Country: _____

***Section 2: FATCA Declaration Specified U.S Person:**

Please tick either (a), (b) or (c) below and complete as appropriate.

The Entity is a *Specified U.S. Person* and the Entity's U.S. Federal Taxpayer Identifying number (U.S. TIN) is as follows:

U.S. TIN: _____

Or
The Entity is **not** a *Specified U.S. Person* (please also complete Sections 3, 4 and 5)

Or
The Entity is a US person but **not** a *Specified U.S. Person* (please also complete Sections 3, 4 and 5)

***Section 3: Entity's FATCA Classification** (the information provided in this section is for FATCA, please note your classification may differ from your CRS classification in Section 5):

3.1 Financial Institutions under FATCA:

If the Entity is a Financial Institution, please tick one of the below categories and provide the Entity's GIIN at 3.2 or indicate at 3.3 the reason why you are unable to provide a GIIN.

I.	Irish Financial Institution or a Partner Jurisdiction Financial Institution	<input type="checkbox"/>
II.	Registered Deemed Compliant Foreign Financial Institution	<input type="checkbox"/>
III.	Participating Foreign Financial Institution	<input type="checkbox"/>

3.2 Please provide the Entity's *Global Intermediary Identification number (GIIN)* _____

3.3 If the Entity is a *Financial Institution* but unable to provide a *GIIN*, please tick one of the below reasons:

I.	The Entity has not yet obtained a <i>GIIN</i> but is sponsored by another entity which does have a <i>GIIN</i> ∞ Please provide the sponsor's name and sponsor's <i>GIIN</i> : Sponsor's Name: _____ Sponsor's <i>GIIN</i> : _____ ∞NOTE: this option is only available to Sponsored Investment Entities in Model 1 IGA jurisdictions. Sponsored Investment Entities that do not have U.S. reportable accounts are not required to register and obtain a <i>GIIN</i> with the IRS unless and until U.S. reportable accounts are identified	<input type="checkbox"/>
II.	The Entity is an Exempt Beneficial Owner, Please tick and confirm the category of Exempt Beneficial Owner; I. <input type="checkbox"/> Government Entity II. <input type="checkbox"/> International Organisation III. <input type="checkbox"/> Foreign Central Bank IV. <input type="checkbox"/> Exempt Retirement Fund V. <input type="checkbox"/> Collective Investment Vehicle Wholly Owned by Exempt Beneficial Owners.	<input type="checkbox"/>
III.	Certified Deemed Compliant Foreign Financial Institution (including a deemed compliant Financial Institution under Annex II of the Agreement)	<input type="checkbox"/>
IV.	Non-Participating Foreign Financial Institution	<input type="checkbox"/>
V.	Excepted Foreign Financial Institution Indicate exemption: _____	<input type="checkbox"/>

VI.	The Entity is a Trustee Documented Trust. Please provide your Trustee's name and GIIN	<input type="checkbox"/>
	Trustee's Name: _____	
	Trustee's GIIN: _____	

3.4 Non-Financial Institutions (Non-Financial Foreign Entity/NFFE) under FATCA:

If the Entity is not a Financial Institution, please confirm the Entity's FATCA classification below by ticking one of the below categories

I.	Active Non-Financial Foreign Entity (NFFE)	<input type="checkbox"/>
II.	Passive Non-Financial Foreign Entity (NFFE)	<input type="checkbox"/>
I.	<input type="checkbox"/> Passive Non-Financial Foreign Entity with no Controlling Persons that are specified U.S Persons.	
II.	<input type="checkbox"/> Passive Non-Foreign Financial Entity with Controlling Persons that are specified U.S Persons. <i>(If this is ticked, please include self-certification forms for each of your Controlling Persons)</i>	
III.	Excepted Non-Financial Foreign Entity (NFFE)	<input type="checkbox"/>
IV.	Direct Reporting Non-Financial Foreign Entity (NFFE)	<input type="checkbox"/>
	Please provide your GINN: _____	

***Section 4: Common Reporting Standard ("CRS") Declaration of Tax
(Note that Entities may have more than one country of Tax Residence)**

Please indicate the Entity's country of tax residence for CRS purposes, (if resident in more than one country please detail all countries of tax residence and associated tax identification numbers ("TIN")). Please refer to the OECD CRS Web Portal for AEOI for more information on Tax Residence.

If the Entity is not tax resident in any jurisdiction (e.g., because it is fiscally transparent), please indicate that below and provide its place of effective management or country in which its principal office is located]

NOTE: Under the Irish legislation implementing the CRS, provision of a Tax ID number (TIN) is required to be provided unless:

a) You are tax resident in a Jurisdiction that does not issue a (TIN)

Or

b) You are tax resident in a non-reportable Jurisdiction (i.e. Ireland or the USA)

Country of Tax Residency	Tax ID Number	∞If TIN unavailable Select (A, B or C) below

∞If a TIN is unavailable, please provide the appropriate reason **A, B or C** where indicated below:

Reason A - The country/jurisdiction where the Account Holder is resident does not issue TINs or TIN equivalents to its residents

Reason B - The Account Holder is otherwise unable to obtain a TIN (*Please explain why you are unable to obtain a TIN if you selected Reason B*)

Reason C - No TIN is required. (Note: Only select this reason if the domestic law of the relevant country/jurisdiction does not require the collection of the TIN issued by such country/jurisdiction)

***Section 5: Entity's CRS Classification** (The information provided in this section is for CRS. Please note an Entity's CRS classification may differ from its FATCA classification in Section 3 above). In addition please note that the information that the Entity has to provide may differ depending on whether they are resident in a participating or non-participating CRS Jurisdiction. For more information please see the OECD CRS Standard and associated commentary.

<http://www.oecd.org/tax/automatic-exchange/common-reporting-standard/common-reporting-standard-and-related-commentaries/#d.en.345314>

5.1 Financial Institutions under CRS:

If the Entity is a *Financial Institution, Resident in either a Participating or Non-Participating CRS Jurisdiction* please review and tick one of the below categories that applies **and** specify the type of Financial Institution below.

Note: Please check the Irish Revenue AEOI portal at the time of completion of this form to confirm whether your country of Tax Jurisdiction is considered Participating or Non-Participating for the purposes of CRS Due-Diligence in Ireland.

<http://www.revenue.ie/en/business/aeoi/participating-jurisdictions.pdf>

I.	A Reporting Financial Institution resident in a participating CRS jurisdiction	<input type="checkbox"/>
II.	<p>A Financial Institution Resident in a Non-Participating Jurisdiction</p> <p><input type="checkbox"/> An Investment Entity resident in a Non-Participating Jurisdiction and managed by another Financial Institution (If this box is ticked, please indicate the name of any Controlling Person(s) of the Entity in section 6 below and complete a separate individual self-certification forms for each of your Controlling Persons“)</p> <p><input type="checkbox"/> An Investment Entity resident in a Non-Participating Jurisdiction that is not managed by another Financial Institution</p> <p><input type="checkbox"/> Other Financial Institution, including a Depository Financial Institution, Custodial Institution or Specified Insurance Company</p>	<input type="checkbox"/>
III.	<p>Non-Reporting Financial Institution under CRS.</p> <p>Specify the type of Non-Reporting Financial Institution below:</p> <p><input type="checkbox"/> Governmental Entity</p> <p><input type="checkbox"/> International Organization</p> <p><input type="checkbox"/> Central Bank</p> <p><input type="checkbox"/> Broad Participation Retirement Fund</p> <p><input type="checkbox"/> Narrow Participation Retirement Fund</p> <p><input type="checkbox"/> Pension Fund of a Governmental Entity, International Organization, or Central Bank</p> <p><input type="checkbox"/> Exempt Collective Investment Vehicle</p> <p><input type="checkbox"/> Trust whose trustee reports all required information with respect to all CRS Reportable Accounts</p> <p><input type="checkbox"/> Qualified Credit Card Issuer</p> <p><input type="checkbox"/> Other Entity defined under the domestic law as low risk of being used to evade tax.</p> <p>Specify the type provided in the domestic law:</p> <p>_____</p>	<input type="checkbox"/>

5.2 Non Financial Institutions under CRS:

If the Entity is a *not defined as a Financial Institution under CRS* then, please tick one of the below categories confirming if you are an Active or Passive NFE.

I.	Active Non-Financial Entity (NFE) – a corporation the stock of which is regularly traded on an established securities market. Please provide the name if the established securities market on which the corporation is regularly traded: _____	<input type="checkbox"/>
II.	Active Non-Financial Entity (NFE) – if you are a Related Entity of a regularly traded corporation. Please provide the name of the regularly traded corporation that the Entity is a Related Entity of: _____ Please provide details of the securities market that the entity is listed on : _____	<input type="checkbox"/>
III.	Active Non-Financial Entity (NFE) – a Government Entity or Central Bank	<input type="checkbox"/>
IV.	Active Non-Financial Entity (NFE) – an International Organisation	<input type="checkbox"/>
V.	Active Non-Financial Entity (NFE) – other than those listed in I, II, III or IV above. (for example a start-up NFE or a non-profit NFE)	<input type="checkbox"/>
VI.	Passive Non-Financial Entity (NFE) - (if this box is ticked, please also complete Section 6.1 below and indicate the name of all natural Controlling Person(s) of the Entity and complete a separate Individual Self-Certification Form for each of your Controlling Person(s)	<input type="checkbox"/>

** Section 6: Controlling Persons

NB: Please note that each Controlling Person must complete a Separate Individual Self-Certification form. If there are no natural person(s) who exercise control of the Entity then the Controlling Person will be the natural person(s) who hold the position of senior managing official of the Entity.

For further information on Identification requirements under CRS for Controlling Persons, see the Commentary to Section VIII of the CRS Standard.

<http://www.oecd.org/tax/automatic-exchange/common-reporting-standard/common-reporting-standard-and-related-commentaries/#d.en.345314>

Name of All Controlling Person(s) of the Account Holder:

If you have ticked sections 5.1 VI above, then please complete section 6.1 and 6.2 below:

6.1 Indicate the name of all Controlling Person(s) of the Account Holder:

I.	
II.	
III.	

Note: In case of a trust, Controlling Persons means the settlor(s), the trustee(s), the protector(s) (if any), the beneficiary (ies) or class(es) of beneficiary(ies), **AND** any other natural person(s) exercising ultimate effective control over the trust. With respect to an Entity that is a legal person, if there are no natural person(s) who exercise control over the Entity, then the Controlling Person will be the natural person who holds the position of senior managing official of the Entity.

6.2 Complete a separate Individual (Controlling Person's) Self-Certification for FATCA and CRS for each Controlling Person listed in Section 6.1.

***Section 7: Declarations and Undertakings**

I/We declare (as an authorised signatory of the Entity) that the information provided in this form is, to the best of my/our knowledge and belief, accurate and complete.

I acknowledge and consent to the fact that the information contained in this form and information regarding the Account Holder may be reported to the tax authorities of the country in which this account(s) is/are maintained and exchanged with tax authorities of another country or countries in which the Account Holder may be tax resident where those countries (or tax authorities in those countries) have entered into Agreements to exchange financial account information.

I/We on behalf of the Entity undertake to advise the recipient promptly and provide an updated Self-Certification form within 30 days where any change in circumstance (for guidance refer to Irish Revenue or OECD website) occurs which causes any of the information contained in this form to be incorrect.

*** Authorised Signature(s):**

*** Print Name(s):**

*** Capacity in which declaration is made:**

*** Date: (dd/mm/yyyy):** _____

Individual (Controlling Person's) Self-Certification for FATCA and CRS

Instructions for completion

We are obliged under Section 891E, Section 891F and Section 891G of the Taxes Consolidation Act 1997 (as amended) and regulations made pursuant to those sections to collect certain information about each account holder's tax arrangements. Please complete the sections below as directed and provide any additional information that is requested. Please note that by completing this form you are providing personal information which may constitute personal data within the meaning of the General Data Protection Regulation (697/2016/EU) (the "GDPR") and applicable Irish data protection legislation (currently the Irish Data Protection Acts 1988 to 2003. Please note that in certain circumstances we may be legally obliged to share this information, and other financial information with respect to an account holder's interests in the Fund, with the Irish tax authorities, the Revenue Commissioners. They may in turn exchange this information, and other financial information with foreign tax authorities, including tax authorities outside the EU.

If you have any questions about this form or defining the account holder's tax residency status, please speak to a tax adviser or local tax authority.

For further information on FATCA or CRS please refer to Irish Revenue website at <http://www.revenue.ie/en/business/aeoi/index.htm> or the following link to the OECD CRS Information Portal at: <http://www.oecd.org/tax/automatic-exchange/> in the case of CRS only.

If any of the information below about the investor's tax residence or FATCA/CRS classification changes in the future, please advise of these changes promptly.

Please note that where there are joint or multiple account holders each investor is required to complete a separate Self-Certification form.

Sections 1, 2, 3 and 5 must be completed by all investors.

Section 4 should only be completed by any individual who is a Controlling Person of an entity account holder which is a Passive Non-Financial Entity, or a Controlling Person of an Investment Entity located in a Non-Participating Jurisdiction and managed by another Financial Institution.

For further guidance see.

<http://www.oecd.org/tax/automatic-exchange/common-reporting-standard/common-reporting-standard-and-related-com mentaries/#d.en.345314>

*(Mandatory fields are marked with an *)*

***Section 1: Investor Identification**

* Account Holder / Controlling Person Name: _____

***Current Residential Address:**

Number: _____ Street: _____

City, Town, State, Province or County: _____

Postal/ZIP Code: _____ Country: _____

Mailing address (if different from above):

Number: _____ Street: _____

City, Town, State, Province or County: _____

Postal/ZIP Code: _____ Country: _____

*Place Of Birth

*Town or City of Birth: _____ *Country of Birth: _____

*Date of Birth: _____

***Section 2: FATCA Declaration of U.S. Citizenship or U.S. Residence for Tax purposes:**

Please tick either (a) or (b) and complete as appropriate.

(a) I confirm that [I am]/[the investor is] a U.S. citizen and/or resident in the U.S. for tax purposes and [my]/[its] U.S. federal taxpayer identifying number (U.S. TIN) is as follows:

OR

(b) I confirm that [I am not]/[the investor is not] a U.S. citizen or resident in the U.S. for tax purposes.

***Section 3: Common Reporting Standard (CRS) Declaration of Tax Residency/Residencies (please confirm all Tax Residencies)**

Please indicate your country of tax residence (if resident in more than one country please detail all countries of tax residence and associated tax identification numbers ("TINs")). For further guidance please refer to the OECD CRS Information Portal (<http://www.oecd.org/tax/automatic-exchange/>).

NOTE: Under the Irish legislation implementing the CRS, provision of a Tax ID number (TIN) is required to be provided unless:

- a) You are tax resident in a Jurisdiction that does not issue a (TIN),
- or,
- b) You are tax resident in a non-reportable Jurisdiction (i.e. Ireland or the USA)

Country of Tax Residency	Tax ID Number	∞If TIN unavailable Select (A, B or C) and check box below

∞If a TIN is unavailable, please provide the appropriate reason A, B or C where indicated below:

Reason A - The country/jurisdiction where the Account Holder is resident does not issue TINs or TIN equivalents to its residents

Reason B - No TIN is required. (Note: Only select this reason if the domestic law of the relevant country/jurisdiction does not require the collection of the TIN issued by such country/jurisdiction)

Reason C - The Account Holder is otherwise unable to obtain a TIN (Please explain why you are unable to obtain a TIN if you selected Reason C)

Section 4 – Type of Controlling Person

(ONLY to be completed by any individual who is a Controlling Person of an entity investor which is a Passive Non-Financial Entity or an Investment Entity located in a Non-Participating Jurisdiction and managed by another Financial Institution)

For joint or multiple Controlling Persons please complete a separate Self-Certification form for each Controlling Person

<i>Please Confirm what type of Controlling Person applicable under CRS that applies to you/the investor by ticking the appropriate box.</i>	Please Tick	Entity Name
Controlling Person of a legal person – control by ownership		
Controlling Person of a legal person – control by other means		
Controlling Person of a legal person – senior managing official		
Controlling Person of a trust - settlor		
Controlling Person of a trust – trustee		
Controlling Person of a trust – protector		
Controlling Person of a trust – beneficiary		
Controlling Person of a trust – other		
Controlling Person of a legal arrangement (non-trust) – settlor-equivalent		
Controlling Person of a legal arrangement (non-trust) – trustee-equivalent		
Controlling Person of a legal arrangement (non-trust) – protector-equivalent		
Controlling Person of a legal arrangement (non-trust) – beneficiary-equivalent		
Controlling Person of a legal arrangement (non-trust) – other-equivalent		

*Section 5: Declaration and Undertakings:

I declare that the information provided in this form is, to the best of my knowledge and belief, accurate and complete.

I acknowledge that the information contained in this form and information regarding the Account Holder may be reported to the tax authorities of the country in which this account(s) is/are maintained and exchanged with tax authorities of another country or countries in which the Account Holder may be tax resident where those countries (or tax authorities in those countries) have entered into Agreements to exchange financial account information.

I undertake to advise the recipient promptly and provide an updated Self-Certification form where any change in circumstances occurs which causes any of the information contained in this form to be incorrect.

Data Protection - Customer Information Notice :

The Common Reporting Standard (CRS), formally referred to as the Standard for Automatic Exchange of Financial Account Information, is an information standard for the automatic exchange of information (AEOI), developed in the context of the Organisation for Economic Co-operation and Development (OECD).

The standard requires that Financial Institutions in participating jurisdictions gather certain information from account holders (and, in particular situations, also collect information in relation to relevant Controlling Persons of such account holders).

Under CRS account holder information (and, in particular situations, information in relation to relevant Controlling Persons of such account holders) is to be reported to the relevant tax authority where the account is held, which, if a different country to that in which the account holder resides, will be shared with the relevant tax authority of the account holder's resident country, if that is a CRS-participating jurisdiction.

Information that may be reported includes name, address, date of birth, place of birth, account balance, any payments including redemption and dividend/interest payments, Tax Residency(ies) and TIN(s).

Further information is available on the OECD website: <http://oecd.org/tax/automatic-exchange/>
And on the Irish Revenue website - <http://www.revenue.ie/en/business/aeoi/>)

*Authorised Signature: _____

*Print Name: _____

*Date: (dd/mm/yyyy): _____

*Capacity: _____

Appendix A

Privacy Notice

Introduction

This notice sets out details of how and why Baron Capital UCITS ICAV (the “**ICAV**”, “**we**”, “**us**”, “**our**”) and third parties acting on our behalf collect and process personal information in connection with investments in the ICAV. We do this in compliance with our obligations under applicable data protection law. This notice explains what personal data is collected, the purposes for which it is used, the third parties to whom it may be disclosed and how individuals can exercise their rights in relation to their personal data.

This notice applies to the collection and processing of personal information relating to any individual investor in the ICAV and personal information relating to individuals associated with corporate investors in the ICAV, such as a corporate investor’s directors, shareholders, employees, professional advisers and other personnel. References to “**you**” and “**your**” mean the relevant individuals who are the subjects of the personal data to which this notice relates and “**your company**” means a corporate investor with which an individual is associated. Corporate investors should ensure that this notice is provided to any individual whose personal data the corporate investor has provided to us, such as its directors, shareholders, employees, and other personnel, as soon as practicable.

We also refer you to the section entitled Data Protection in our application form which contains certain further information relating to our use of your personal information.

About Us

The ICAV is the controller of the personal data collected via the application form and in connection with our dealings with you or your company in relation to investments in the ICAV. The ICAV engages third party service providers to process such personal data on behalf of the ICAV and those third parties act as processors. If you have any questions about the use of your personal data by or on behalf of the ICAV, please contact us at the above address.

Personal Data that we Process

We collect personal data relating to you that is provided to us in the application form and in connection with our dealings with you or your company in relation to investments in the ICAV, including your name, signature, postal address, email address, fax number, date of birth, and other contact details.

We may also collect personal data in relation to you in connection with ensuring compliance with our legal obligations including your PPS number; passport number; photographic identification and verification such as copies of your passport; and address verification. For the purposes of carrying out due diligence, we may also collect information relating to your status as an ultimate beneficial owner of an entity, or as a politically exposed person.

We may collect and process personal data relating to you in connection with our on-going relationship with you or your company, such as via correspondence and calls, and in connection with our administration of you or your company’s investment in the ICAV. We may record telephone calls for the purposes of record keeping, security and training.

In addition, we may collect personal data relating to you from third party sources in connection with complying with legislation relating to anti-money laundering, taxation, and other legislation applicable to investment funds.

We require you to complete the mandatory fields identified in our application form for the purpose of entering into a contract with you or your company. Please note that some of the information required by our application form is necessary in order for us to administer your or your company's investment in the ICAV and if you or your company do not provide us with these required details, we may decline your or your company's application to become an investor. Please be advised that you may be contractually obliged to ensure that certain information that we hold relating to you is up to date pursuant to the application form.

Purposes of Processing and Legal Basis

Personal data that you or your company provides in your application form, or that we otherwise obtain in relation to you, will be processed for the following purposes and corresponding legal bases:

Purpose	Legal Basis
<ul style="list-style-type: none"> • processing your or your company's application to become an investor; 	<ul style="list-style-type: none"> • Where the investor is the data subject: Processing is necessary to perform our contract with you, or to comply with our legal obligations (as applicable in each case). • Where the investor is a corporation with which the data subject is associated: Processing is necessary to comply with our legal obligations or in our legitimate interests (see below) (as applicable in each case).
<ul style="list-style-type: none"> • establishing your identity, and providing, servicing and administering your or your company's investment; 	<ul style="list-style-type: none"> • Where the investor is the data subject: Processing is necessary to perform our contract with you, or to comply with our legal obligations (as applicable in each case). • Where the investor is a corporation with which the data subject is associated: Processing is necessary to comply with our legal obligations or in our legitimate interests (see

	below) (as applicable in each case).
<ul style="list-style-type: none"> • for direct marketing purposes, subject to any preferences you communicate to us; 	<ul style="list-style-type: none"> • Processing is necessary for our legitimate interests (see below).
<ul style="list-style-type: none"> • if applicable, processing the fact that you are a politically exposed person, to comply with applicable legal obligations; 	<ul style="list-style-type: none"> • Processing is necessary to comply with our legal obligations.
<ul style="list-style-type: none"> • to communicate with you by way of notice pursuant to applicable legislation or the ICAV's Instrument of Incorporation; 	<ul style="list-style-type: none"> • Where the investor is the data subject: Processing is necessary to perform our contract with you, or to comply with our legal obligations (as applicable in each case). • Where the investor is a corporation with which the data subject is associated: Processing is necessary to comply with our legal obligations or in our legitimate interests (see below) (as applicable in each case).
<ul style="list-style-type: none"> • maintaining appropriate business records, including maintaining appropriate registers of shareholders; 	<ul style="list-style-type: none"> • Processing is necessary to comply with our legal obligations or in our legitimate interests (see below) (as applicable in each case).
<ul style="list-style-type: none"> • statistical analysis and market research; 	<ul style="list-style-type: none"> • Processing is necessary for our legitimate interests (see below).
<ul style="list-style-type: none"> • internal training and management of personnel; 	<ul style="list-style-type: none"> • Processing is necessary for our legitimate interests (see below).
<ul style="list-style-type: none"> • to respond to or evaluate any queries or complaints in relation to your or your company's investment; 	<ul style="list-style-type: none"> • Processing is necessary to comply with our legal obligations or in our legitimate interests (see below) (as applicable in each

	case).
<ul style="list-style-type: none"> • internal and external audits and, where necessary, investigations; and 	<ul style="list-style-type: none"> • Processing is necessary for our legitimate interests (see below).
<ul style="list-style-type: none"> • establishing, exercising or defending legal claims. 	<ul style="list-style-type: none"> • Processing is necessary for our legitimate interests in establishing, exercising or defending legal claims.
<ul style="list-style-type: none"> • To comply with applicable legal requirements, and tax and regulatory reporting obligations; 	<p>Fund Legislation Certain requirements apply under the ICAV Act, the UCITS Regulations and the Central Bank UCITS Regulations which would require the processing of investor personal data.</p> <p>Tax Laws Various provisions of Ireland's tax code require Irish collective investment entities (including the ICAV) to collect and process certain information about their investors. This information is shared with the Irish tax authority (the 'Revenue Commissioners') and, in the context of international information exchange initiatives, the Revenue Commissioners can share this information with other tax authorities.</p> <p>The information reported to the Revenue Commissioners can include investor data such as their name, address, date of birth, tax identification number, controlling persons (in the case of an entity investor) and certain financial data relating to the account the investor holds with the Fund. The Fund is generally obliged to retain records relating to the above reporting obligations for a period of six years. The main legislative provisions relevant to these reporting obligations are as follows:</p> <ul style="list-style-type: none"> • Section 739J of the Taxes Consolidation Act, 1997 • Section 891E of the Taxes Consolidation Act, 1997 • Section 891F of the Taxes

	<p>Consolidation Act, 1997</p> <ul style="list-style-type: none"> • Section 891G of the Taxes Consolidation Act, 1997 <p>Regulatory (Data Protection etc.) Regulatory requests requiring the processing of information can be issued under:</p> <ul style="list-style-type: none"> • Chapter VI of General Data Protection Regulation, • Part 6 of the Data Protection Act 2018.
<ul style="list-style-type: none"> • To meet anti-money laundering and anti-terrorist financing (collectively “AML”) obligations and to detect or prevent fraud and/or other criminal activity, including OFAC and PEP screening for these purposes and to comply with UN, EU and other applicable sanctions regimes; 	<p>AML including obligations relating to the register of beneficial owners:</p> <p>Designated persons shall keep records under:</p> <ul style="list-style-type: none"> • Section 55 of the Criminal Justice (Money Laundering and Terrorist Financing) Act 2010 (as amended) • Directive (EU) 2015/849 of the European Parliament and of the Council of 20 May 2015 on the prevention of the use of the financial system for the purposes of money laundering or terrorist financing, amending Regulation (EU) No 648/2012 of the European Parliament and of the Council, and repealing Directive 2005/60/EC of the European Parliament and of the Council and Commission Directive 2006/70/EC
<ul style="list-style-type: none"> • To comply with any order of a court (with appropriate jurisdiction) requiring the Fund to disclose information; 	<p>Civil and Commercial Laws: Court orders requiring the processing of information can be issued under:</p> <ul style="list-style-type: none"> • Regulation (EU) No 1215/2012 on jurisdiction and the recognition and enforcement of judgments in

	<p>civil and commercial matters.</p> <ul style="list-style-type: none"> • Council Regulation (EC) No 1206/2001 of 28 May 2001 on cooperation between the courts of the Member States in the taking of evidence in civil or commercial matters. <p>Criminal Laws: Search warrants and production orders requiring the processing of information can be issued under:</p> <ul style="list-style-type: none"> • Section 14 of the Criminal Assets Bureau Act 1996. • Section 15 of the Criminal Justice Act 2011. • Section 7 Criminal Justice (Offences Relating to Information Systems) Act 2017. • Section 74 and Section 75 of the Criminal Law (Mutual Assistance) Act 2008. • Section 96 of the Garda Síochána Act 2005. <p>Section 25 of the Prevention of Corruption (Amendment) Act 2001.</p>
<ul style="list-style-type: none"> • Legal obligations relating to HR / company officers records 	<p>Retention or production of such records as may be required by:</p> <ul style="list-style-type: none"> • Section 707(2), 285 of the Companies Act 2014. <p>Protected Disclosures (Amendment) Act 2022.</p>
<ul style="list-style-type: none"> • Compliance with fitness and probity obligations 	<ul style="list-style-type: none"> • Part 3 of the Central Bank Reform Act 2010 (as amended).

The legal grounds that we rely on to process your personal data are:

- that this is necessary to comply with our legal obligations;
- that this is necessary for the purposes of our legitimate interests or the legitimate interests of a third party to whom we provide your personal data. We will not process your personal data for these purposes if our or the third party's legitimate interests should be overridden by your own interests or fundamental rights and freedoms. The legitimate interests pursued by us in this regard include:

- conducting our business in a responsible and commercially prudent manner and dealing with any disputes that may arise;
- preventing, investigating or detecting theft, fraud or other criminal activity;
- pursuing our corporate and social responsibility objectives;
- where you are an individual investor, that this is necessary to take steps at your request prior to entering into our contract with you and for the performance of our contract with you;
- in certain limited circumstances, your consent.

Recipients of Data

We may disclose your personal data to various recipients in connection with the above purposes, including:

- to FundRock Management Company S.A., Ireland Branch, the ICAV's Management Company.
- to State Street Fund Services (Ireland) Limited, the ICAV's administrator;
- to State Street Custodial Services (Ireland) Limited, the ICAV's depository;
- to BAMCO, Inc., the ICAV's investment manager, and its affiliates;
- to the ICAV's distributor(s);
- to the ICAV's Money Laundering Reporting Officer;
- to the Revenue Commissioners and other tax authorities as required by applicable law, including FATCA or CRS;
- to the Central Bank of Ireland, auditors, or other competent regulatory authorities and bodies as requested or required by law;
- to other third parties who we engage to provide services to us, such as professional advisers, auditors and IT service providers; and
- to credit reference agencies in order to carry out money laundering and identity checks and to comply with legal obligations.

Further information relation to CRS

The CRS was developed by the OECD as a new global standard for the automatic exchange of information between tax authorities in participating jurisdictions. A Multilateral Competent Authority Agreement was signed on 29 October 2014 in Berlin at the Global Forum on Transparency and Exchange of Information which will implement CRS in more than 90 jurisdictions, including Ireland. Under the CRS, governments of participating jurisdictions are required to collect detailed information to be shared with other jurisdictions annually.

Under Irish laws giving effect to the CRS, the ICAV will be required to obtain and report to the Revenue Commissioners annually certain financial account and other information for all new and existing Shareholders in respect of their holding of Shares in the ICAV. This information will include amongst other things, details of the name, address, TIN, place of residence and, in the case of Shareholders who are individuals, the date and place of birth, together with details relating to payments made to Shareholders and their holdings. All Shareholders will be required to provide this information to the ICAV.

This information is being collected and reported to the Revenue Commissioners by the ICAV to enable the Revenue Commissioners to exchange this information with tax authorities in participating jurisdictions who have signed up to the Multilateral Competent Authority Agreement. Presently, the ICAV will be required to collect and report this information in respect of all Shareholders in the ICAV, irrespective of where they are resident. It is expected that where a Shareholder is resident in a jurisdiction which is participating in the CRS, the information submitted by the ICAV to the Revenue Commissioners will be shared by the Revenue Commissioners with the tax authority of that participating jurisdiction. It is expected that where the Revenue Commissioners determine that a Shareholder is resident in a non-participating jurisdiction, no further use will be made by the Revenue Commissioners of the information submitted by the ICAV. The precise requirements of the CRS as implemented in Ireland are not yet known and may impose additional due diligence, systems and administrative burdens and costs on ICAV and/or its Shareholders. Further information may be obtained from the AEOI (Automatic Exchange of Information) webpage on revenue.ie - <http://www.revenue.ie/en/business/aeoi/index.html>.

Transfers Abroad

In connection with the above purposes we may transfer your personal data outside the European Economic Area, including to a jurisdiction which is not recognised by the European Commission as providing for an equivalent level of protection for personal data as is provided for in the European Union. If and to the extent that we do so, we will ensure that appropriate measures are in place to comply with our obligations under applicable law governing such transfers, which may include: (a) entering into a contract governing the transfer which contains the “standard contractual clauses” approved for this purpose by the European Commission; (b) in respect of transfers to the United States of America, ensuring that the transfer is covered by the EU-US Data Privacy Framework; or (c) transferring your personal data pursuant to binding corporate rules.

Further details of the measures that we have taken in this regard and the territories to which your personal data may be transferred are available by contacting us at our address set out at the beginning of this notice.

Retention

We will retain your personal data for the duration of your or your company’s investment in the ICAV and for such a period of time after the investment ends as is necessary to comply with our obligations under applicable law and, if relevant, to deal with any claim or dispute that might arise.

Your Rights

You have the following rights, in certain circumstances and subject to applicable exemptions, in relation to your personal data:

- the right to access the personal data that we hold about you, together with other information about our processing of that personal data;
- the right to require us to rectify any inaccuracies in your personal data;

- the right to require us to erase your personal data;
- the right to request that we no longer process your personal data for particular purposes;
- the right to object to our use of your personal data or the way in which we process it; and
- where the legal basis for processing is that it is necessary for the performance of a contract with you, the right to receive your personal data, which you provided to us, in a structured, commonly used and machine-readable format or to require us to transmit that data to another controller.

In order to exercise any of the rights set out above, please contact us at the address set out at the beginning of the application form.

Complaints

If you are not happy with the way we have used your information or addressed your rights, you have the right to lodge a complaint with the Irish Data Protection Commission (info@dataprotection.ie) or your local supervisory authority.