

## Conference FAQs for Website

### Registration Process – What to Expect

For all shareholders with accounts held directly with Baron or held at a brokerage firm (i.e. Schwab or Fidelity)

**Step 1:** Register online or by calling 1-800-99-BARON starting July 1<sup>st</sup> and receive an email confirmation of your registration.

**Step 2:** Your Baron Funds holdings are verified, and an approval or rejection email confirmation is sent.

**Step 3:** Venue assignments are assigned and emailed to shareholders. Shareholders must RSVP by using the personalized link provided in the email confirmation.

**Step 4:** Check-in packets are emailed and mailed to Conference attendees.

### General Information

#### Q: When and where is the 2018 Conference?

A: The 27<sup>th</sup> Annual Baron Investment Conference will take place at Lincoln Center in New York City on November 9, 2018 from 8:00am – 4:00pm.

#### Q: Who is invited to the Conference?

A: The Conference is for shareholders of Baron Funds who meet the minimum investment requirement and business relationships of Baron Funds and Baron Capital Management.

#### Q: How do I get invited to attend?

A: All eligible Baron shareholders and Baron business relationships who are 18 or older are invited to attend.

#### Q: What are the investment requirements for shareholders to attend?

A: The 2018 investment requirement is \$25,000 per shareholder or \$50,000 per couple (aggregate of all accounts).

#### Q: What is preferred seating and who is eligible?

A: Shareholders with \$250,000 or more invested in Baron Funds will receive guaranteed seating in the Met in a preferred seating section if you check in prior to 8:30am on the day of the Conference. Within this section, seat tickets are first come, first served.

#### Q: When can I get a 2018 Conference t-shirt?

A: 2018 t-shirts are available on November 12<sup>th</sup>, with a limit of two t-shirts per household. Please note that the shirts will not be shipped out until December. We also have a limited inventory of vintage t-shirts available. Please call 1-800-99-BARON ext. 3 for all t-shirt orders and questions.

### Registration Process

#### Q: When does registration open for the Conference?

A: Registration will open on July 30, 2018.

#### Q: How do I register for the Conference?

A: Once registration opens, you can register online by clicking [here](#) or by calling 1-800-99-BARON ext. 4.

#### Q: I hold my investments directly with Baron Funds (you receive a statement from Baron) as opposed to a brokerage firm. Do I need to send in my statement?

A: No. We can confirm your holdings through our own records.

**Q: I hold my investments in Baron Funds through a brokerage firm (i.e., Schwab or Fidelity). How do I send my statement?**

A: You can email, fax or mail a copy of your statement to us (details below). The statement must include a) your name, b) Baron Funds holdings, and c) brokerage firm name. You may black out any other information.

Please send to:

Email: [info@baronfunds.com](mailto:info@baronfunds.com)  
 Fax: (212) 504-2645  
 Mail: Baron Funds  
 767 5th Avenue, 49<sup>th</sup> Floor  
 New York, NY 10153  
 Attention: Conference Registration

**Q: Why can't Baron access my statements for the account(s) I hold through my brokerage firm?**

A: Brokerage firms do not allow us to access the holdings information in your account(s) with them.

**Q: How do I find out whether you received my statement and whether I have been approved to attend the Conference?**

A: We will send you an email confirmation once your registration has been approved or rejected. If we have a question about your statement, we will email you.

**Q: I do not have an email address. How will you communicate my registration status to me?**

A: If you have a question or would like an update on your status, you must call us at 1-800-99-BARON ext. 4. If we have a question about your registration, we will call you at the phone number that you provide on your registration form.

**Q: How and when am I informed of my venue assignment?**

A: We will email Conference registrants with their venue assignments on a rolling basis on the first business day of the month starting on September 1. Due to high demand and the limited size of the venue, we require an RSVP from all registrants who receive a venue assignment at the Met. Please reply directly to the email provided on your email notice of venue assignment or call us at 1-800-99-BARON ext. 4 to confirm your registration.

We redistribute Met seat tickets to our adjacent venue guests at the David Rubenstein Atrium, as they become available. Please note everyone who joined us in the adjacent venue last year was able to get into the Met at some point during the day.

**Q: Can I request to sit in the adjacent venue when I register?**

A: Yes, please note this request when you register.

**Q: How will I receive my check-in packet?**

A: You will receive a check-in packet by email and regular mail. The check-in packet contains a barcode letter. You must bring the barcode with you to check in. You may 1) bring the mailed barcode; 2) print out the emailed barcode and bring it; or 3) be prepared to show your barcode on your mobile device at check-in. You will be assigned a seat at check-in on a first-come, first-served basis.

## Planning for the Conference

### **Q: Do you have any hotel room blocks?**

A: Yes, we plan to have hotel room blocks. Please check back [here](#) for accommodation options.

### **Q: How do I get directions to Lincoln Center?**

A: The 1 and 2 lines stop at 66 St – Lincoln Center which is the closest subway stop. The M2, M5, M7, M11, M 20, M 104, and BxM2 buses also have stops close to the venue. For more complete directions, please click [here](#).

### **Q: What should I bring to check in?**

A: Please bring your barcode letter and a photo ID, which is required for admission.

### **Q: Is there handicapped seating?**

A: For shareholders whose venue assignment is the Met, Lincoln Center provides wheelchair seating in designated areas. Please let us know if you need a wheelchair and/or wheelchair seating before the event and we will be happy to arrange it for you. Please check in through the Help Desk line. For all other special accommodations, seating in the Met is first come, first serve.

There will also be seating in the adjacent venue for the use and comfort of our special accommodation shareholders. This venue will be simulcasting the event, providing food and drink throughout the day, and allowing access to lunch and live lunchtime entertainment.

For more complete information about accessibility services, click [here](#).

### **Q: I am hard of hearing. How can Lincoln Center accommodate me?**

A: Lincoln Center provides wireless headsets for those who are hearing-impaired. When you arrive at the Conference, please let a Lincoln Center attendant know and they will provide one for you.

For more complete information about accessibility services, click [here](#).

### **Q: I will be arriving by car or ambulance. What is the closest drop off point to the check-in tent?**

A: Please drive to the Founder’s Hall entrance in the parking garage below the Met.

### **Q: What are the parking options near Lincoln Center?**

A: Please click [here](#) to learn about parking at Lincoln Center.

### **Q: My venue assignment is the adjacent venue, David Rubenstein Atrium. What should I expect?**

A: This venue will be simulcasting the event, providing food and drink throughout the day, and allowing access to lunch and live lunchtime entertainment. We redistribute Met seat tickets to our adjacent venue guests as they become available. Please note everyone that joined us in the adjacent venue last year was able to get into the Met at some point during the day.

### **Q: What if I can no longer attend?**

A: Whether you have RSVP’d or not, please call us as soon as possible and let us know if your plans have changed. In fairness to all Conference attendees, a no show/no call will be taken into consideration for future conferences.

## Day of the Conference

*\*There will be Baron employees and Lincoln Center staff available to answer your questions at the Conference.*

**Q: Where is the check-in tent?**

A: The check-in tent is directly in front of the Met on Lincoln Center plaza. A map of the campus can be found [here](#).

**Q: What is the check-in process?**

A: You must enter through the tent door indicated on the barcode letter. You will receive a name badge, seat ticket, and lunch venue assignment at check-in.

**Q: How are the seat tickets distributed?**

A: Seat tickets in the Met are distributed on a first come, first served basis. A small number of tickets are set aside for preferred and VIP guests and are redistributed throughout the day as they become available. A numbered yellow wristband will be issued to each guest assigned to the adjacent venue (David Rubenstein Atrium) upon check-in.

**Q: How will Met tickets be redistributed to attendees in the adjacent venue?**

A: As soon as we have available Met seat tickets, we will redistribute them to guests in the adjacent venue according to the number on your yellow wristband.

**Q: What time is lunch?**

A: Lunch venues will not open prior to 11:15am. Please do not leave the Met before the final speaker has finished his/her speech. You will be waiting in long lines and possibly cold weather if you do. Long lines are created by those who leave early since each lunch venue has strict guidelines to open no earlier than 11:15am regardless of weather.

**Q: Can I change my lunch venue later?**

A: No, the lunch venue you choose during check-in is final. Please go to your selected venue to pick up your lunch. You may go to other venues 30 minutes after lunch starts but only if there is room.

**Q: If I need to leave the Met, can I get back in?**

A: You may leave during the morning sessions but there is no re-admittance at the start of the afternoon session. No exceptions.

**Q: Is there a coat check in the Met?**

A: Yes, on the Founder's Hall level, downstairs from the entrance level. It is free of charge.

**Q: I will be coming to the event from a hotel. Can I store my luggage at the Met?**

A: For security reasons, we cannot accept large bags. We strongly advise you to arrange for your hotel to hold your luggage. Most hotels will hold bags at the front desk for their guests for the day. The Met coat check does reach capacity.

**Q: Do I need to check my large camera?**

A: Yes. We encourage you to leave large cameras at home.

**Q: Do I need to check my laptop?**

A: Yes.

**Q: Can I bring food into the Met?**

A: No, there is no eating or drinking inside the Met performance/seating area.

**Q: I cannot stand for a long time in the check-in line. Are there chairs?**

A: Yes, there will be chairs outside of the check-in tent and inside the tent as well. Please note that if you want to be seated in the Met with someone, you must check in together.

**Q: Can I check-in for my friend and get that person's seat ticket?**

A: No. We need to see a valid photo ID for every attendee in order to issue a seat ticket.

**Q: Will you be serving food and beverages besides lunch?**

A: Yes, there will be an assortment of breakfast items and coffee and tea in the morning at the Met. The PM and Analysts Q&A Sessions at David Geffen Hall and the adjacent venue, David Rubenstein Atrium, will be providing food and beverages.

**Q: Where is the adjacent venue?**

A: The adjacent venue, David Rubenstein Atrium, is located at 61 W 62nd St, New York, NY 10023.

*For more information, please go to [www.baronfunds.com/baron-conference](http://www.baronfunds.com/baron-conference) . Any additional questions you have may be directed to our Conference team at 1-800-99-BARON ext. 4 Monday through Thursday from 9am-5:30 or Friday from 9am-5pm.*